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DOCKET NO.: S1022.81118US00

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jean-Luc MORAND

Serial No.:

10/763,070

Filed:

January 22, 2004

For:

ACTIVE SEMICONDUCTOR COMPONENT WITH A REDUCED SURFACE

AREA

Examiner:

Unassigned

Art Unit:

2818

Confirmation No.:

3710

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Transmitted herewith for filing is/are the following document(s):

[X] Information Disclosure Statement

[X] PTO Form 1449 With Cited References

[X] Return Post Card

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. If it is determined that a fee is necessary, the fee may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2004.

Attorney Docket No.: S1022.81118US00

XNDD

Respectfully submitted,

Jean-Luc Morand, Applicant

James H. Morris Reg. No.: 34,681

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Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

Information Cited

The Applicant would like to bring to the Examiner's attention the following co-pending application that may contain subject matter related to this application:

Serial No.	Filing Date	<u>Inventor(s)</u>	
10/763 579	January 22 2004	Jean-Luc Morand	

[NOTE TO PRACTITIONERS - Must provide a copy of any pending U.S. application, even if it was previously submitted to or cited by the U.S. Patent Office in an earlier application, unless the earlier application is identified herein and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

Serial No.: 10/763,070 - 2 - Art Unit: 2818

Conf. No.: 3710

REMARKS

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2004.

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Respectfully submitted,

Jean-Luc Morand, Applicant

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FORM PTC	0-1449/A and B (M	Odifie	BMARK C	APPLICATION NO.: 10/763,070	ATTY. DOCKET N	O.: \$1022.81118US0		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				FILING DATE: January 22, 2004	CONFIRMATION	CONFIRMATION NO.: 3710		
			LICANT	APPLICANT: Jean-Luc MORAND				
Sheet	1	of	1	GROUP ART UNIT: 2818	EXAMINER:	Unassigned		

U.S. PATENT DOCUMENTS

Examiner's Cite		U.S. Patent Docum	nent	Name of Patentee or Applicant of Cited	Date of Publication or of issue	
Initials	No.	Number	Kind Code	Document	of Cited Document MM-DD-YYYY	

FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation	
Initials	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)	
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OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Cite Initials No		Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.			
		French Search Report from related French National Application No. 03/50987 filed December 5, 2003			
		Zhong J.X. et al., <u>Electronic Structure of a Fractal Model of Porous Silicon</u> , J. Non-Cryst. Solids (Netherlands), December 1993, Vol. 164-166, Pt. 2, pages 969-972, XP002290027			

EXAMINE R	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]